

PDS/SE/2021-22/38

August 5, 2021

<b>Listing Department</b> <b>National Stock Exchange of India Limited</b> Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Symbol: PDSMFL	<b>Corporate Relationship Department</b> <b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 538730
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Re: ISIN - INE111Q01013

Sub: Reporting regarding Violation of Company's Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir/ Madam,

This is to inform you that in terms of the SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of the Company's code of conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015 by the Designated Person along with the action taken by the Company thereon is enclosed as "Annexure A" to this letter.

We request you to kindly take the above information on record.

Thanking you,

Yours faithfully,  
for PDS Multinational Fashions LimitedAbhishek Kanoi  
Head of Legal & Company Secretary  
ICSI Membership No.: F-9530

## Annexure A

### Report by PDS Multinational Fashions Limited for Violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

*Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015*

Sl. No.	Particulars	Details
1	<b>Name of the Listed Company/ Intermediary/ Fiduciary</b>	PDS Multinational Fashions Limited
2	Please tick appropriate checkbox Reporting in capacity of:  <input checked="" type="checkbox"/> Listed Company  <input type="checkbox"/> Intermediary  <input type="checkbox"/> Fiduciary	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Vikas Garg
	ii. PAN of the DP	AICPG1478L
	iii. Designation of DP	Financial Controller of Subsidiary Company
	iv. Functional Role of DP	Mr. Vikas Garg is in Accounts & Finance Department of the Company's subsidiary
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. Details of Designated Person (DP)</b>	
	i. Name of the immediate relative of DP	-
	ii. PAN of the immediate relative of DP	-
	<b>C. Details of transaction(s)</b>	
	i. Name of the Scrip	PDS Multinational Fashions Limited
	ii. No. of shares traded and value (Rs.) (Date-wise)	- Purchased 34 Equity Shares on July 12, 2021 of value of Rs. 35,360. - Purchased 21 Equity Shares on July 13, 2021 of value of Rs. 22,050. - Sold 31 Equity Shares on July 16, 2021 of value of Rs. 36,660.60. - Sold 24 Equity Shares on July 19, 2021 of value of Rs. 28,752.
	<b>D. In case value of trade(s) is more than Rs 10 lacs in a calendar quarter –</b>	
	i. Date of intimation of trade(s) by concerned DP/ Director/ Promoter/ Promoter Group to Company under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable



**Regd. Off:** No. 758 & 759, 2<sup>nd</sup> Floor, 19<sup>th</sup> Main, Sector -2, HSR Layout, Bengaluru – 560 102, Karnataka, (India)

**Corp. Office:** Unit No.971, Solitaire Corporate Park, Andheri – Ghatkopar Link Road, Andheri (East), Mumbai – 400093, Maharashtra (India)

Email: [info@pdsmultinational.com](mailto:info@pdsmultinational.com); Website: [www.pdsmultinational.com](http://www.pdsmultinational.com); Telephone No.: +91 80 67653000

CIN: L18101KA2011PLC094125

	ii. Date of intimation of trades(s) by Company to Stock Exchanges under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable
4	<b>Details of violations observed under Code of Conduct.</b>	Mr. Vikas Garg has traded in the Equity Shares of the Company during the period, when the Trading Window was closed for Designated Persons and their immediate relatives.
5	<b>Action taken by Listed Company/ Intermediary/Fiduciary</b>	Warning letter has been issued to Mr. Vikas Garg, on his e-mail ID registered with Depository Participant that he would not engage henceforth in any kind of dealings in the Equity Shares of the Company in violation of the Company's Code of Conduct and SEBI (Prohibition of Insider Trading) Regulations, 2015; and a monetary penalty of Rs. 8,005.00 (Rupees Eight Thousand and Five Only) on the said transaction has been imposed by the Audit Committee at their Meeting held on August 4, 2021, and the aforesaid penalty is credited to the Investor Protection and Education Fund of SEBI.
6	<b>Reasons recorded in writing for taking action stated above.</b>	As per the Company's Code of Internal Procedures and Conduct for Regulating, Monitoring and Reporting of Trading by Insiders including Designated Persons, and as a disciplinary action, the Company has levied a penalty of Rs. 8005/- (Rupees Eight Thousand and Five Only) on the Designated Person for contravention of the Company's Code of Conduct.
7	<b>Details of the previous instances of violations, if any, since last financial year.</b>	No
8	<b>If any amount collected for Code of Conduct violation(s)</b>	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online



	ii. Details of transfer to SEBI – IEPF (Online/Demand Draft)  In case of Online: <table border="1" data-bbox="402 371 783 721"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, Branch and Account No.</td> <td></td> </tr> <tr> <td>UTR/ Transaction Reference No.</td> <td></td> </tr> <tr> <td>Transaction Date</td> <td></td> </tr> <tr> <td>Transaction Amt (in Rs.)</td> <td></td> </tr> </tbody> </table> In case of Demand Draft (DD): <table border="1" data-bbox="402 788 783 1003"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and Branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD Date</td> <td></td> </tr> <tr> <td>DD Amt (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, Branch and Account No.		UTR/ Transaction Reference No.		Transaction Date		Transaction Amt (in Rs.)		Particulars	Details	Bank Name and Branch		DD Number		DD Date		DD Amt (in Rs.)		<table border="1" data-bbox="826 302 1348 824"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Mr. Vikas Garg</td> </tr> <tr> <td>Bank Name, Branch and Account No.</td> <td>ICICI BANK, Sanand-Ahmedabad Branch and A/c No. 008601528817</td> </tr> <tr> <td>UTR/ Transaction Reference No.</td> <td>1217 09916 779</td> </tr> <tr> <td>Transaction Date</td> <td>August 5, 2021</td> </tr> <tr> <td>Transaction Amt (in Rs.)</td> <td>Rs. 8,005/- (Rupees Eight Thousand and Five Only)</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Mr. Vikas Garg	Bank Name, Branch and Account No.	ICICI BANK, Sanand-Ahmedabad Branch and A/c No. 008601528817	UTR/ Transaction Reference No.	1217 09916 779	Transaction Date	August 5, 2021	Transaction Amt (in Rs.)	Rs. 8,005/- (Rupees Eight Thousand and Five Only)
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9	Any Other Relevant Information	No																																		

for PDS Multinational Fashions Limited



Abhishekh Kanoi  
 Head of Legal & Company Secretary  
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